

Article-Style Dissertation Guidelines

In consultation with and approval from their Major Professor and Advisory Committee, graduate students can choose to write a scholarly **article-style** dissertation. The article-style dissertation format requires organization and careful front-end planning by the student. A student who wishes to use this dissertation format should seek the approval of their Major Professor and Advisory Committee before beginning the project. The use of the article-style dissertation format is entirely at the discretion of the student's Major Professor and Advisory Committee. Intent to use this format must be stated at the student's dissertation proposal presentation. Current Purdue Anthropology graduate students are permitted to adopt this format retroactively, with explicit permission from their Major Professor and Advisory Committee.

For the article-style dissertation option, the Major Professor and Advisory Committee must deem three or more scholarly articles to be of publishable quality. In other words, deemed likely to be peer-reviewed for a reputable scholarly journal and given at least a "revise and resubmit" decision by the editor. It is the responsibility of the student and the Major Professor and Advisory Committee to decide which articles (and how many) to include in the dissertation package. Articles included must be such that it is possible to see unity in the content of the dissertation. Article-style dissertations must also contain an overarching introductory chapter and a conclusion chapter.

No articles are required to be submitted for peer review prior to defending the dissertation. To ensure that reputable and relevant journals are targeted when preparing (and eventually submitting) articles, students must consult with their Major Professor and Advisory Committee. Ultimately, the Major Professor and Advisory Committee (not journal editors or reviewers) will determine whether the chapter substantially meets the content and submission guidelines for a credible submission for publication as well as meeting the program's dissertation goals.

Article-Style Dissertation General Guidelines

1. Overall introduction chapter that critically reviews the literature on the topics of student specialization, with a substantive discussion of the themes that tie together the articles.
2. Previously published or publishable articles (three or more).
3. Overall conclusion comparing/contrasting the previously published or publishable articles and the significance of the research completed in the articles, what additional aspects of the topic still need to be explored, and so on.
4. If the dissertation contains articles that have been published previously, the student should note the copyright requirements and documentation required elsewhere.
5. Students are responsible for ensuring that their dissertation is consistent with Purdue's formatting guidelines.
6. The PhD dissertation proposal (written and oral) is expected to follow the general proposal defense guidelines for a traditional dissertation. However, students must state their plan to pursue an article-style dissertation, and outline the proposed articles to be written.
7. The defense of the article-style dissertation is expected to follow the defense guidelines for a traditional dissertation.

Article-Style Dissertation Specific Criteria

1. All articles must be based upon original research done while a doctoral student is at Purdue University. All articles must represent post-master's research. Publications derived from work begun for a master's project may not be included as part of the dissertation package.
2. There is no minimum required length for the scholarly articles, as lengths should conform to standards appropriate for the student's field and targeted journals. The same is true for introductory and concluding sections. Ultimately, the student's Major Professor and Advisory Committee will determine whether the length of each article, intro, and conclusion is sufficient to fulfill dissertation requirements.
3. To be accepted for fulfillment of an article-style dissertation, scholarly articles must be deemed appropriate in theme and content by the Major Professor and Advisory Committee. Published articles that are not relevant to the student's over-arching research topic will not count toward the required number of scholarly articles to fulfill dissertation requirements.
4. Short research notes; technical appendices to books, monographs, or dissertations; review articles; contributions to online or printed encyclopedias; and reports submitted to public or private agencies will not usually be counted toward the minimum number of articles. However, they can be included as supporting material in the sections devoted to critical review and background information.
5. Chapters from peer-reviewed edited books may also be included at the discretion of the Major Professor and Advisory Committee.
6. Given the collaborative nature of Anthropology, there may be a tendency for doctoral scholars to produce co-authored scholarly articles. As a general rule, only publications for which the student is **first or corresponding author** can be included in the dissertation package (in some cases senior collaborators may expect first authorship regardless of actual contribution; the student's the Major Professor and Advisory Committee may then waive the rule on a case-by-case basis). However, the student must have been substantially involved in study design, data analysis, and article writing, for any article included in the dissertation. In the case of articles with multiple authors, the student must preface each paper with a paragraph detailing the contribution of each listed author to the creation of the publication, even if he or she is first author.
7. Co-authorship with the student's the Major Professor or Advisory Committee members is common, but represents a potential conflict of interest. The Advisory Committee should be formed such that at least two members have no connection with any of the articles submitted.
8. When two or more doctoral students co-author a paper, it is not permissible for both (or all) students to submit the same paper as a part of their individual doctoral dissertations. The doctoral dissertation is explicitly intended to be a demonstration of individual abilities and accomplishments. Co-authored publications submitted as part of a dissertation must satisfy the requirement that only one student co-author is designated as first or corresponding author.
9. When using tables or materials from collaborators, footnotes must acknowledge the contribution of the collaborators, including any figures, tables, or data that were not created by the author alone.
10. The question of whether the extent of any article overlap is excessive will be determined by the student's the Major Professor and Advisory Committee. A certain amount of overlap is acceptable. For example, portions of the literature review may need to be cited in the various

articles because it delineates the entire historical background of the study's focal topic. Redundancy can be reduced by citing one's own work. However, self-plagiarism -- reusing one's own previously written work or data in a 'new' written product without letting the reader know that this material has appeared elsewhere -- is prohibited.

11. If an article is rejected by a journal during the dissertation process, the student may submit to another journal approved by the Major Professor and Advisory Committee. In the case of a revise and resubmit during the dissertation process, any changes to the article must be approved by the Major Professor and Advisory Committee.